

# Program

Overture:

Review of TRIM family of models

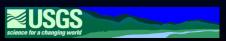
Act I: San Francisco Bay Nowcast System

Act II: SFO runways expansion

Act III: Escape from Alcatraz

Epilog: Creative Numerical Modeling

Ralph T. Cheng



# Overture : (Introduction)

#### TALES OF HOFFMANN

BY JACQUES OFFENBACH



"Poet Hoffmann in a beer cellar telling three of his previous love stories"



Numerical Modeling:
Balance Between
Science and Technology

"Research Hydrologist Ralph Cheng telling three of his recent studies on Hydrodynamics of San Francisco Bay"

## **Review of TRIM family of models:**

Casulli, V., 1990, Semi-implicit Finite-difference Methods for the Two-dimensional Shallow Water Equations, J. Comput. Phys., V. 86, p. 56-74.

**Stability Analysis:** Gravity wave terms and velocities in Continuity Eq. control the numerical stability

#### **Method of Solution:**

- 1. Treat those terms implicitly, and the remaining terms explicitly.
- 2. Substituting momentum Eqs. into continuity Eq., resulting a matrix equation that determines the water surface of the entire domain.

#### TRIM 2D: Extensive applications in San Francisco Bay

Cheng, R. T., V. Casulli, and J. W. Gartner, 1993, Tidal, residual, intertidal mudflat (TRIM) model and its applications to San Francisco Bay, California, Estuarine, Coastal, and Shelf Science, Vol. 36, p. 235-280.

# 2D Depth-Averaged Shallow Water Equations

Continuity Eq.: 
$$\frac{\partial \varsigma}{\partial t} + \frac{\partial [(h+\varsigma)U]}{\partial x} + \frac{\partial [(h+\varsigma)V]}{\partial y} = 0$$

### X-Momentum Eq.:

$$\frac{DU}{Dt} - fV = -g\frac{\partial \varsigma}{\partial x} + \frac{1}{\rho_o(h+\varsigma)} (\tau_x^w - \tau_x^b) + A_h \nabla^2 \mathbf{U} - \frac{g}{2\rho_o} (h+\varsigma) \frac{\partial \rho}{\partial x}$$

### Y-Momentum Eq.:

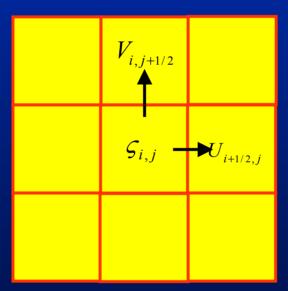
$$\frac{DV}{Dt} + fU = -g\frac{\partial \varsigma}{\partial y} + \frac{1}{\rho_o(h+\varsigma)}(\tau_y^w - \tau_y^b) + A_h \nabla^2 \mathbf{V} - \frac{g}{2\rho_o}(h+\varsigma)\frac{\partial \rho}{\partial y}$$

#### X-Momentum Eq.:

$$\frac{DU}{Dt} - fV = -g\frac{\partial \varsigma}{\partial x} + \frac{1}{\rho_o(h+\varsigma)} (\tau_x^w - \tau_x^b) + A_h \nabla^2 \mathbf{U} - \frac{g}{2\rho_o} (h+\varsigma) \frac{\partial \rho}{\partial x}$$

Semi-implicit FD: Algebraic Eq. of  $\zeta_{i,j}^{n+1}, U_{i+1/2,j}^{n+1}, \zeta_{i+1,j}^{n+1}$ 

Total depth is defined on the "side."



#### Y-Momentum Eq.:

$$\frac{DV}{Dt} + fU = -g\frac{\partial \varsigma}{\partial y} + \frac{1}{\rho_o(h+\varsigma)}(\tau_y^w - \tau_y^b) + A_h \nabla^2 \mathbf{V} - \frac{g}{2\rho_o}(h+\varsigma)\frac{\partial \rho}{\partial y}$$

Semi-implicit FD: Algebraic Eq. of  $\varsigma_{i,j}^{n+1}, V_{i,j+1/2}^{n+1}, \varsigma_{i,j+1}^{n+1}$ 

# Substituting the momemtum Equations into

Continuity Eq.: 
$$\frac{\partial \varsigma}{\partial t} + \frac{\partial [(h+\varsigma)U]}{\partial x} + \frac{\partial [(h+\varsigma)V]}{\partial y} = 0$$

$$(1 + A_{i+1,j} + B_{i-1,j} + C_{i,j+1} + D_{i,j-1})\varsigma_{i,j}^{n+1}$$

$$-A_{i+1,j}\varsigma_{i+1,j}^{n+1} - B_{i-1,j}\varsigma_{i-1,j}^{n+1} - C_{i,j+1}\varsigma_{i,j+1}^{n+1} - D_{i,j-1}\varsigma_{i,j-1}^{n+1} = E_{i,j}^{n}$$

With all coefficients are positive.

The governing matrix equation is symmetric, diagonally dominant, and positive definite.

Numerical solution is achieved by a preconditioned conjugate gradient method.

# **Systematic Development of TRIM Models:**

#### TRIM\_3D: Applications in San Francisco Bay and others

Casulli, V. and R. T. Cheng, 1992, Inter. J. for Numer. Methods in Fluids

Casulli, V. and E. Cattani, 1994, Comput. Math. Appl., Stability, accuracy and efficiency analysis of TRIM\_3D, θ-method for time-difference

Cheng, R. T. and V. Casulli, 1996, Modeling the Periodic Stratification and Gravitational Circulation in San Francisco Bay, ECM-4.

#### TRIM\_3D: Non-hydrostatic

Casulli, V. and G. S. Stelling, 1996, ECM-4

Casulli, V. and G. S. Stelling, 1998, ASCE, J. of Hydr. Eng

#### **UnTRIM** model:

Casulli, V. and P. Zanolli, 1998, A Three-dimensional Semi-implicit Algorithm for Environmental Flows on Unstructured Grids, Proc. of Conf. On Num. Methods for Fluid Dynamics, University of Oxford. What isTRIM model?

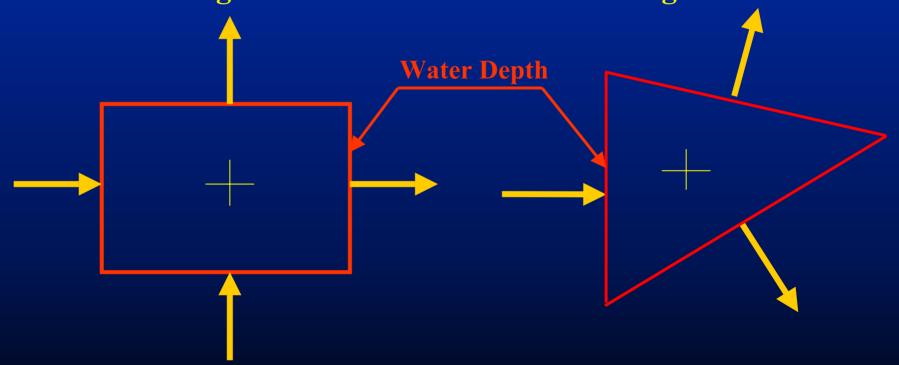
TRIM stands for Tidal, Residual, Inter-tidal Mudflat

TRIM also implies simple and elegant in numerical algorithm and model code, a goal that we are striving for!

#### From TRIM Series of Models to UnTRIM

- 1. Semi-implicit finite-difference of momentum Eq. in the normal direction to each face is applied!
- 2. Applied the Finite-Volume integration of the free surface equation!

  Local and global conservation of volume is guaranteed!



3. The resultant matrix equation determines the water surface elevation for the entire field.

# **Summary of Numerical Algorithm**

**Momentum Equation in**  $\overrightarrow{N}_j$  **direction for velocity**  $V_j$  **relates** 

 $V_j$  and  $\eta$  (left) and  $\eta$  (right) on each face of a polygon

**Continuity and Free-surface Equations** 

$$Div(\overrightarrow{U}) = 0$$

$$\frac{\partial}{\partial} \frac{\eta}{t} + \nabla \bullet \left[ \int_{-h}^{\eta} \overrightarrow{V} dz \right] = 0 \qquad \Longrightarrow \qquad \frac{\partial}{\partial} \frac{\eta}{t} + \oint \left( \int_{-h}^{\eta} \overrightarrow{V} dz \right) \bullet d\overrightarrow{s} = 0$$

Finite Volume integration over each polygon => V's are eliminated giving a Matrix Eq. for  $\eta$ 

The continuity equation and the momentum equations are truly coupled in the solution. No mode splitting is used!





# Two Standard Oil Tankers Collided in Central Bay, Spilled 800,000 Gal. of Crude Oil January 1971



Ralph T. Cheng



Lighter oil drifts with tidal currents near Golden Gate Bridge, Heavy oil sinks to the bottom and drifts with "Gravitational Residual Current" to upstream!



Ralph T. Cheng



# Oil-spill incidences are not isolated cases:

Recent Major Oil Spill in San Francisco Bay:
January 1971 --800,000 Gal. Of Crude Oil
November 1984 -- 200,000 Gal. Near Golden Gate Br.
February 1986 -- 28,000 Gal. From a Barge
April 1988 -- 432,000 Gal. Shell's Refinery
October 1996 -- 80,000 Gal. From Dry Dock

Enormous volume of Crude Oil is transported through GG (43 % of California's or 5% of US's Oil Consumption)

San Francisco Examiner, Nov. 10, 1996: "Oil-Spill shows Bay Area can't prevent disaster!"

What we <u>CAN DO</u> is to minimize any future damages to the fragile marine ecosystem! **≥** 



# A Marine Nowcast System for San Francisco Bay, California

The System -- Integration of Cutting Edge Technologies

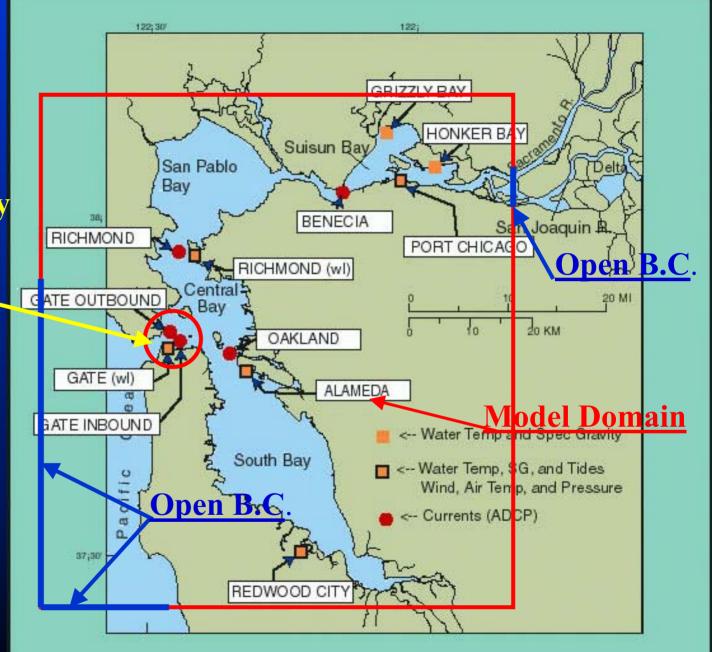
- Real-Time Field Data -- Collaboration with NOS/NOAA

  PORTS --Physical Oceanographic Real-Time System
- Nowcast\* Procedures and Nowcast Hydrodynamic
  Numerical Model (Teaching an Old Dog New Tricks!)
- Interpretation and Dissemination of Real-Time Field Data and Nowcast Model Results via WWW

http://sfports.wr.usgs.gov/sfports.html

\*Nowcast: Assimilation procedure to reproduce observations in the immediate past 24 hrs before **now**, and extending the procedure to predict processes for the next 24 hrs. **ZUSGS** Ralph T. Cheng

# ADCPs data are NOT Available Due to Extremely Harsh Environment



# Concept of Nowcast\* Numerical Hydrodynamic Modeling and Data Assimilation

\*Nowcast: Assimilation procedure to reproduce observations in the immediate past 24 hrs before now, and extend the procedure to predict processes for the next 24 hrs.

Conventional Modeling Requirements: Bathymetry, Initial and Boundary Conditions, Model Calibration and Validation

Nowcast Objective Kernel is to minimize the difference between the model results and observations in the immediate past 24 hrs.

$$\mathbf{F} = \sum_{i=1}^{M} \int_{t_o}^{t_o+24} \left[ \varsigma_i^m(t, P_1, P_2, P_3, ..., P_k) - \varsigma_i^o(t) \right]^2 dt + \sum_{i=1}^{N} \int_{t}^{t_o+24} \left[ V_j^m(t, P_1, P_2, P_3, ..., P_k) - V_j^o(t) \right]^2 dt$$



# Concept of Nowcast\* Numerical Hydrodynamic Modeling and Data Assimilation

The optimization procedure defines the boundary conditions for the next 48 hours of model simulation centered at NOW!

$$\frac{\partial F}{\partial P_k}$$
 = 0; k=1,2,3,,,,,K  $P_k$  are perturbations in B. C.s

The nowcast model is run every hour, continuously and repeatedly starting from 24 hours before now and ending at 24 hours after now.

#### The Model (Old Dog):

TRIM2D (Cheng, Casulli, and Gartner, 1993)

Grid Size: 378 x 426,  $\Delta x = \Delta y = 200$  m on Macator Projection

**Total grid pts.** = **161,000** ; **48,000** active points.

Computational Efficiency =  $\sim$ 325

Ralph T. Cheng

# Nowcast Numerical Modeling (Teaching Old Dog New Tricks)

**TEACH** 

Conventional Modeling Requirements



**New Tricks** 

Timing! Timing! Timing!

# **Home Page of SFPORTS**

http://sfports.wr.usgs.gov/sfports.html

**Agency Logos** 



San Francisco Physical Oceanographic Real-Time System (SFPORTS)



Page Title

Menu

Go to top

#### MAKE A SELECTION

- O Objectives
- O Map of Stations
- O Most Recent Data
  - Wind & Tides
  - **■Collents**
- Cuttent profiles
  Time Series
- O Model Output
- Collent Mbps
- Followia Animations
- Time-Selies
- O Other Resources
- O Glossoty
- O Feedback
- O Cledits
- e ciems

O Admin/Stats

Use a JavaScript

Floating Navigation Window

A partnership of the Marine Exchange of the San Francisco Bay, the California Office of Oil Spill Prevention and Response, NOAA's National Ocean Service, and the U.S. Geological Survey.

Welcome to the RESEARCH AND DEVELOPMENT PAGE FOR SEPORTS the production page has moved to ⇒ SFMX

The objective of this page is to develop techniques for the delivery of real time oceanographic observations to the maritime community and to investigate the use of numerical hydrodynamic models for for easting purposes.

Data inputs now include:

Tides (Water Level)

Currents

Winds (see also San Francisco Bay Wind Patterns page)

Water Temperature

Air Temperature

Air Pressure

Short term forecasts of water level and currents

The data available and presentation techniques will continue to expand at this "experimental" site. Once additions are stable and reviewed they will be ported to the production site maintained by the San Francisco Marine Exchange (SFMX).

Please use the Feedback link to help us improve the data displays and suggest additions.

**Main Content** 

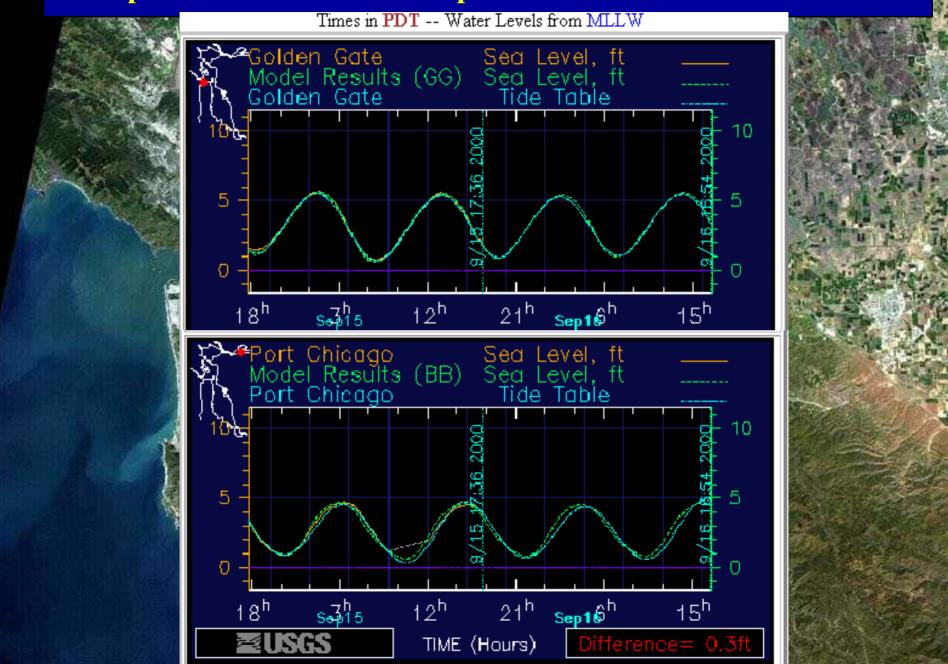
Glossary

**Feedback** 

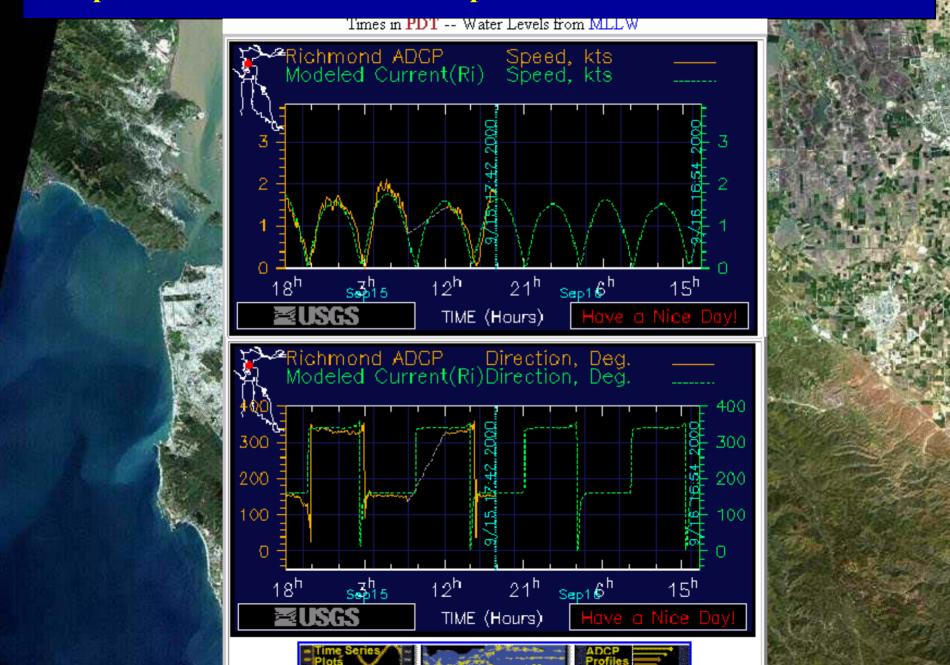
N. AA/NOS SF PORTS Screen Capture (Mo. Recent Raw Data)
Check out our sister site San Francisco Bay Wind Patterns

TOP PAGE GLOSSARY FEEDBACK

#### Comparison of modeled and predicted tides with observations

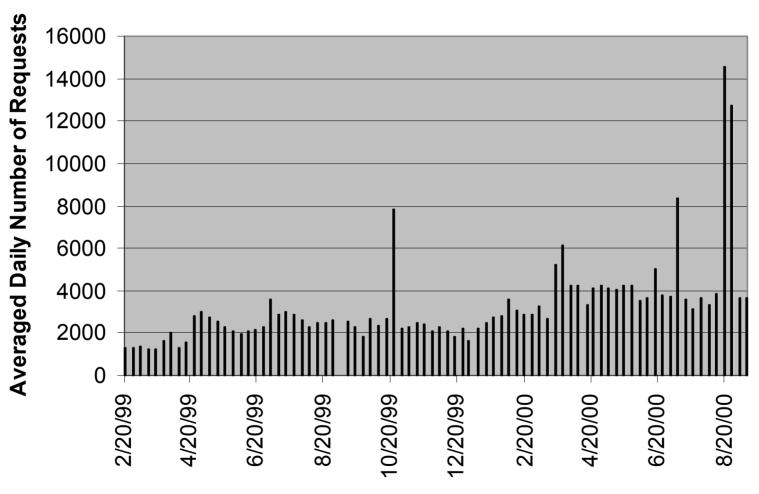


#### **Comparison of Modeled Current Speed & Direction with Observations**



# Conclusion: When you build it they will come!

#### **SFPORTS WWW Requests**



Date (February 1999-August 2000)



Strawman's Proposal to Hydrodynamics and Sediment Transport Issues of SFO Runway Expansion

Issues Related to SFO Runway Expansion
Hydrodynamics and Sediment Transport

SFO Runways Expansion:
Historical and Philosophical Perspectives

**A Proposed Solution** 

**Conclusion** 





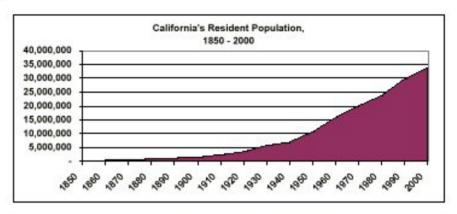
#### U.S. Census Bureau

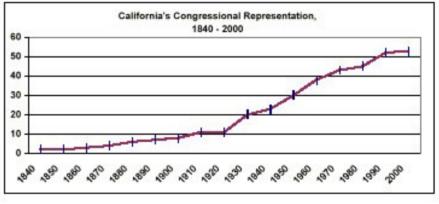
#### Resident Population and Apportionment of the U.S. House of Representatives



#### California

Year	Resident Population	Number of Representatives
2000	33,871,648	53
1990	29,760,021	52
1980	23,667,764	45
1970	19,971,069	43
1960	15,717,204	38
1950	10,586,223	30
1940	6,907,387	23
1930	5,677,251	20
1920	3,426,861	11
1910	2,377,549	11
1900	1,485,053	8
1890	1,213,398	7
1880	864,694	6
1870	560,247	4
1860	379,994	3
1850	92,597	2
1840		2
1830	•	
1820	F 10 10	
1810		
1800		
1790	-	
1789	8 30	





- 1. The resident population excludes the overseas population.
- Congressional apportionment for each state is based upon (1) the resident population and (2) the overseas U.S. military and federal civilian employees (and their dependents living with them) allocated to their home state, as reported by the employing federal agencies.

Estimated Population in the Greater Bay Area: 1930 ~ 1 Million; 1950 ~ 2 Million; 1980 ~ 4 Million; 2000 ~ 6 Million

### **Historical and Philosophical Perspectives: 1927 - 2000**



First Admin. Bldg Mills Field Municipal Airport of San Francisco (1927)

Mills Field Municipal Airport of San Francisco (1928)



# Historical and Philosophical Perspectives: 1927 - 2000



Aerial view of SFO in 1952. Airport expansion in the 50's which has nearly the same configuration as present.

SFO Expansion Project, 1939



# **Historical and Philosophical Perspectives: 1927 - 2000**



# Historical and Philosophical Perspectives: 1928 - 2000

### Life is NOT static!

Changes are necessary to accommodate population and economic growth and to meet the needs of community.

The future changes should be decided by the community!

As members of professional engineering society, our responsibilities are to provide insights and fair evaluations of all proposals, particularly those "outside-of-the-box" ideas, and to formulate a solution that would meet the needs of the community but create least disturbances to the existing ecosystem!

# Issues Related to SFO Runway Expansion The propositions:

Population and economic growth, bigger airplanes, new runways are needed to meet the demands

# The proposed solution:

"Fill-in" the Bay for runways expansion

#### The concerns:

Health and well being of S. F. Bay Ecosystem!

Uncertainties in the future!!

### The bottom line:

Can we accommodate runways expansion and preserve the ecosystem at "Status Quo"?



# Issues Related to SFO Runway Expansion "Fill-in" the Bay for runways expansion Questions:

- 1. Will "fill-in" affect the Hydrodynamics and Sediment Transport?
- 2. Can we define the regions of influence? Local, regional, or bay-wide?
- 3. Is there a solution that can accommodate runways expansion AND preserve the hydrodynamics at "Status Quo"?

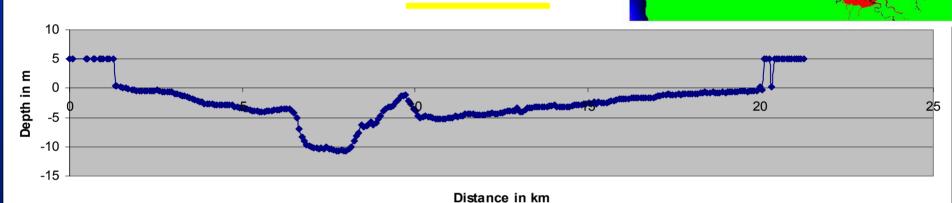
(Hydrodynamics and Sediment Transport)



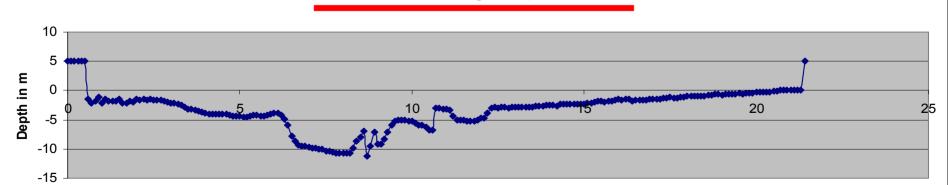
# Geometrical considerations:

# **X-sections**





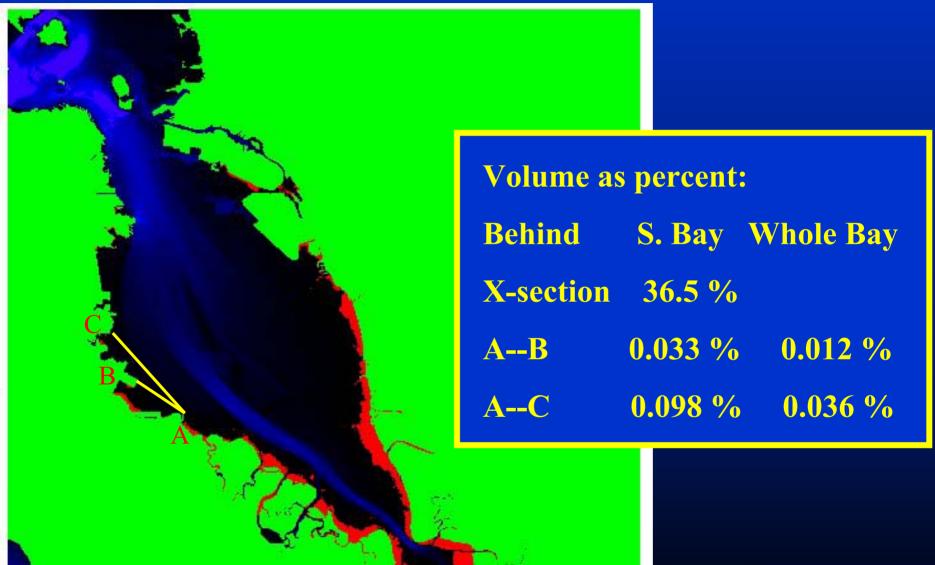
#### **East-West Cut through Seaplane Harbor**



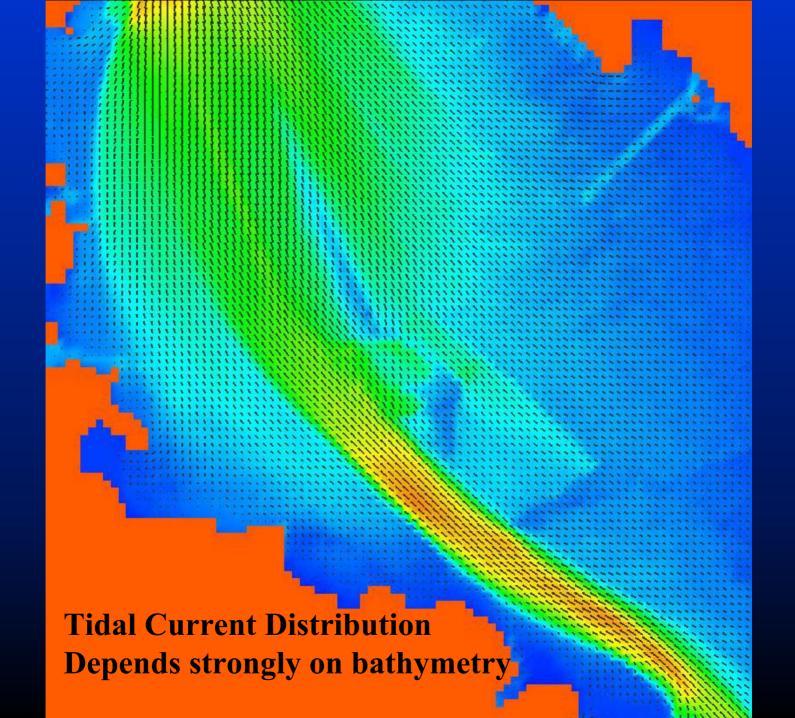
Distance in km

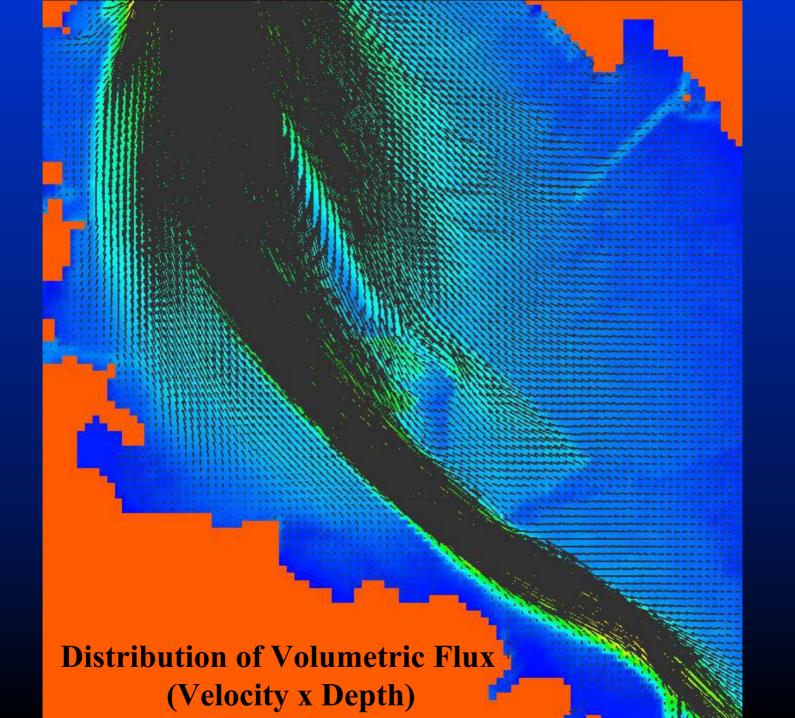


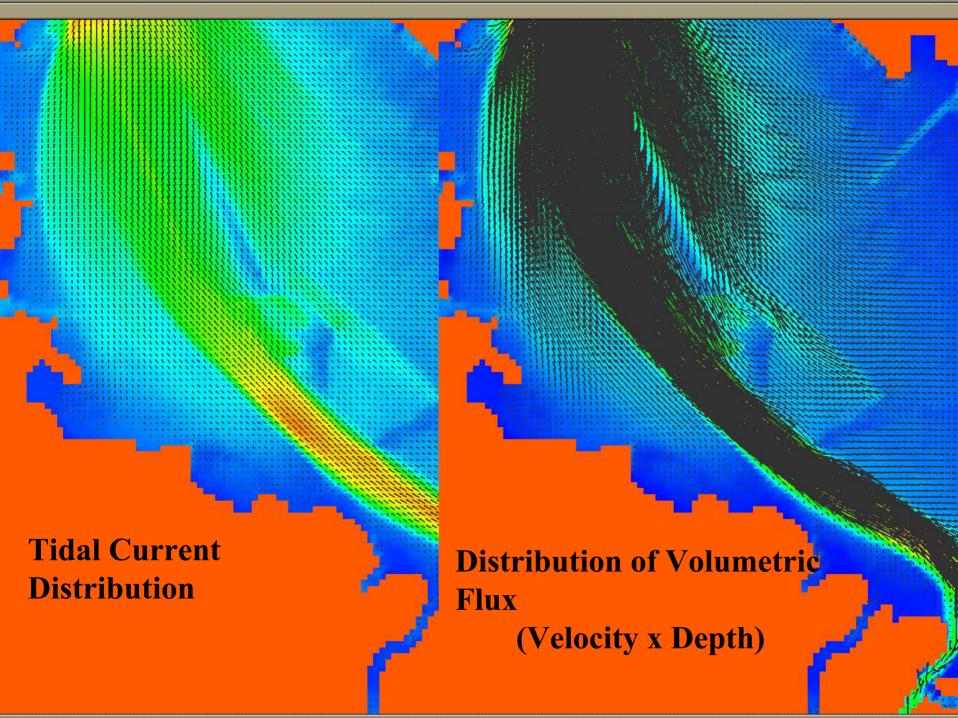
#### **Geometrical Considerations: VOLUME**







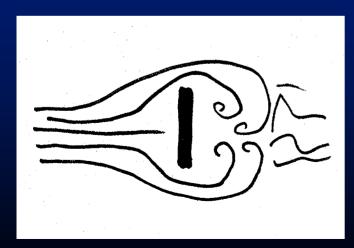


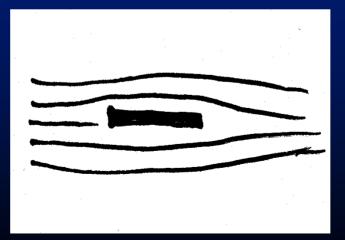


# A Proposed Solution:

Objectives: To accommodate runway expansion and keep the changes to the circulation and sediment movements to minimal Solution based on simple hydrodynamics:

Build a streamlined "retention-wall" enclosure of runways expansion.





# A Proposed Solution:

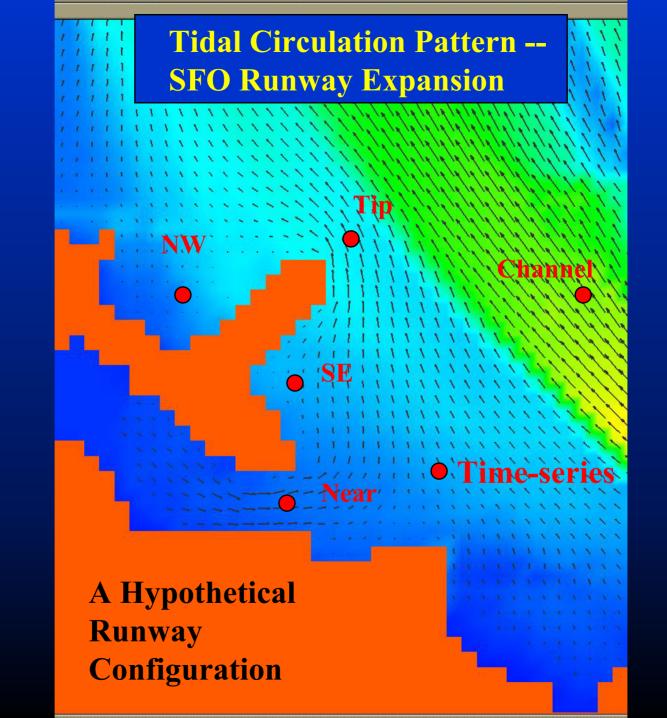
Objectives: To accommodate runways expansion and also keep the changes to the circulation in the Bay and sediment movements to a minimum.

Simple Hydrodynamic Principles:
Build a <u>streamlined</u> "Retention-wall" enclosure of runway expansion.

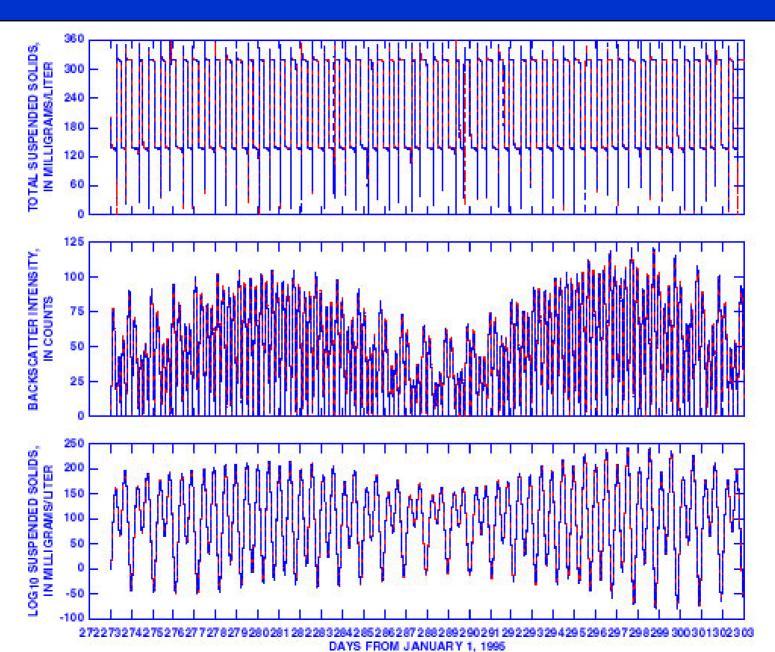
#### Numerical Model of three scenarios:

- 1. Present Condition
- 2. Runway Expansion
- 3. Retention-wall Enclosure

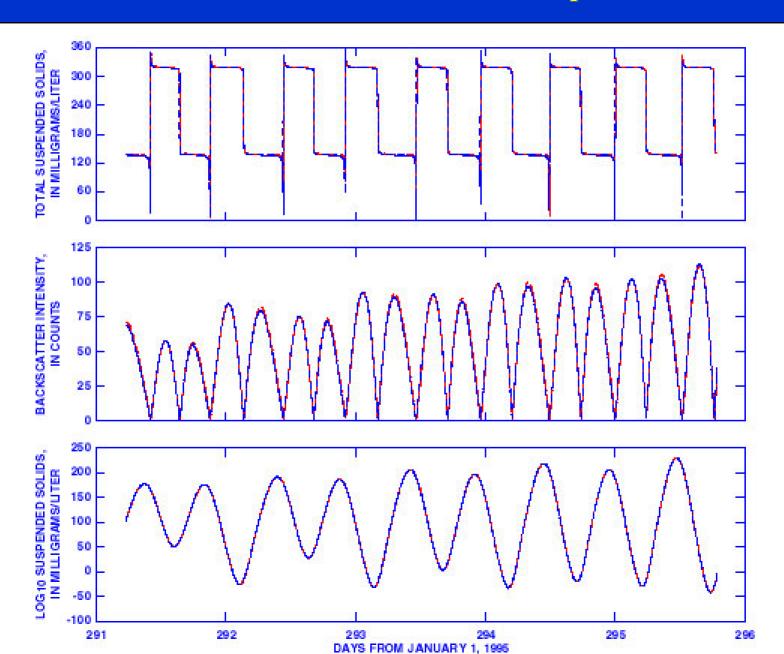




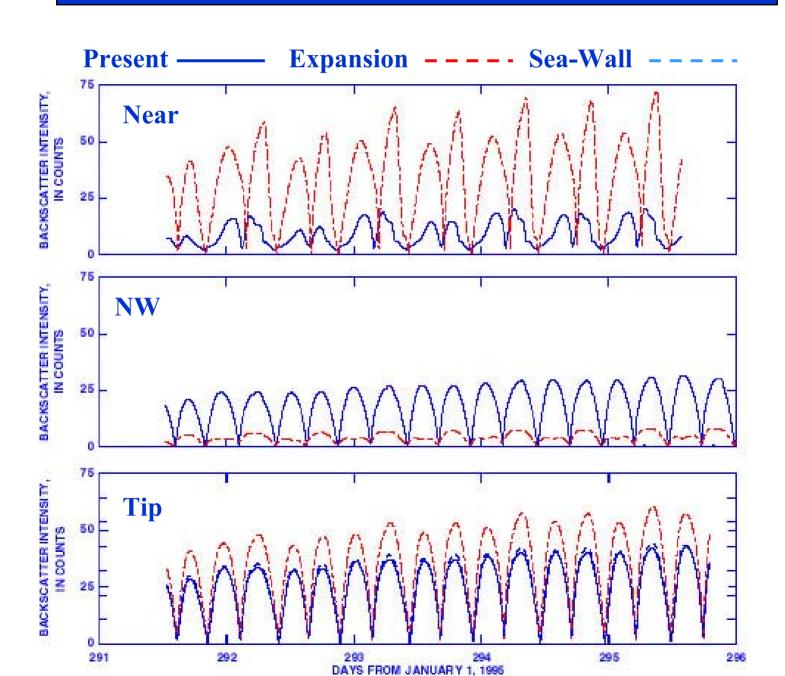
#### Time-series saved in the channel for Present, Expansion, and Wall



#### Time-series in the channel for Present, Expansion, and Wall



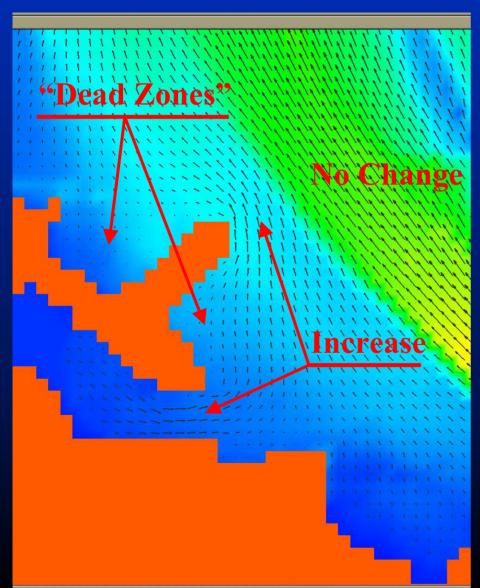
#### Time-series saved in at "Near", "NW", and "Tip"

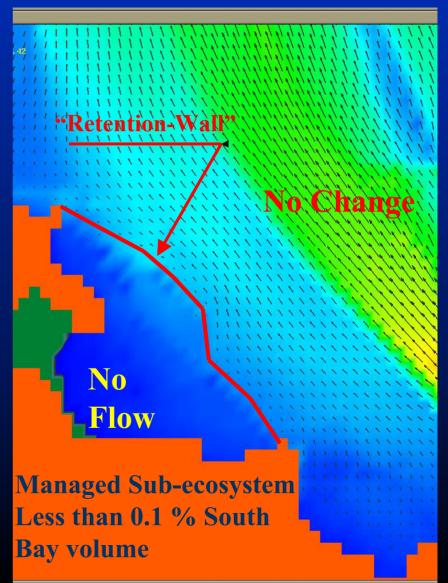


#### **Numerical Model Simulation of Tidal Circulation Pattern**

**SFO Runway Expansion** 

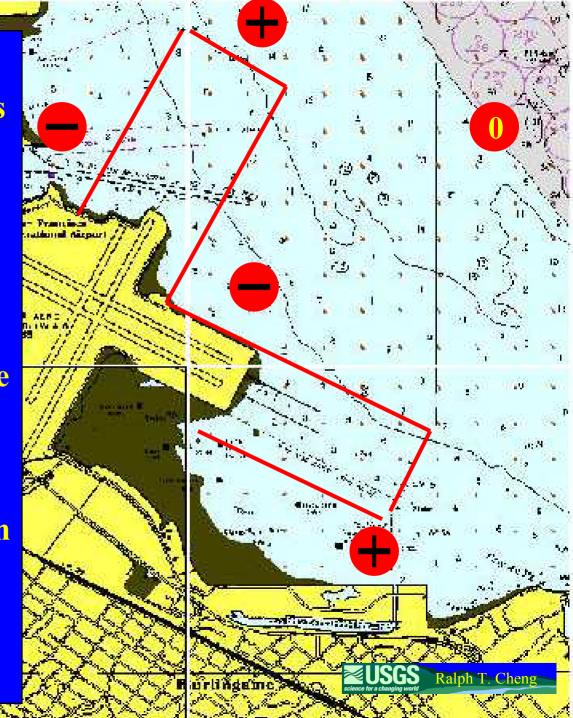
**Sea-Wall Enclosure** 





#### **Conclusions:**

- 1. Life is not static, changes are necessary to meet the needs of society.
- 2. Tools are available for assessing impacts due to SFO runway expansion.
- 3. This strawman proposal illustrates that it might be possible to formulate solutions that can minimize the impacts due to runways expansion
- 4. Need more <u>creative</u> research to formulate an engineering solution.

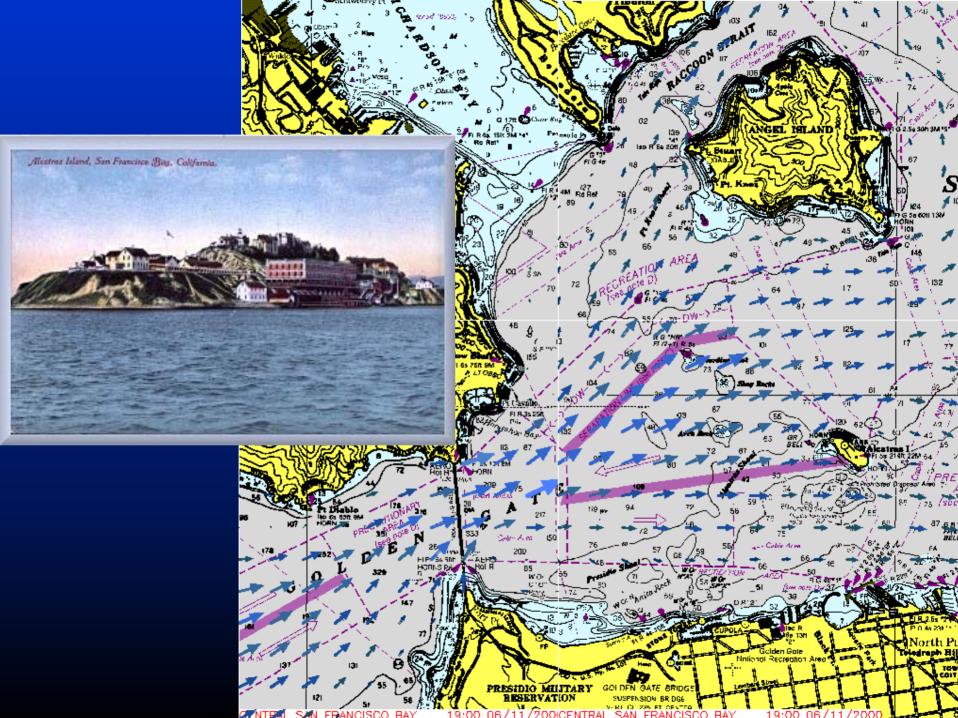


# Act III Escape from Alcatraz



Conductor: Ralph T. Cheng

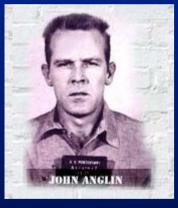
**Producer: Discovery Channel** 



### Discovery Channel: Escape No.13: June 11, 1962



Frank Lee Morris, Age 35
Highly intelligent, IQ 133
Bank Burglary, Escape record

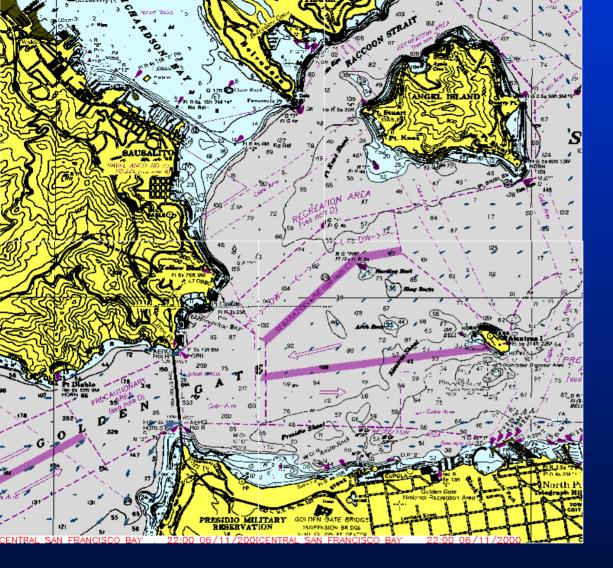


John Anglin, Age 32
Bank Robbery, Escape record



Clarence Anglin, Age 31
Bank Robbery, Escape record

Alcatraz was a jail for most notorious prisoners!



Known Facts:

June 11, 1962

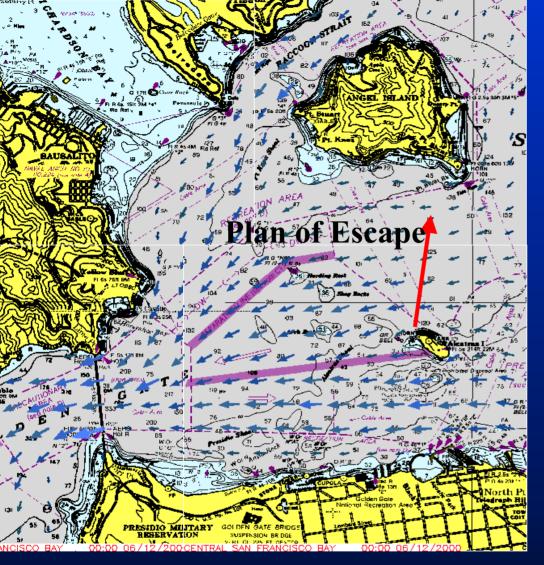
Last seen around 9:00 PM

Their disappearance was discovered at 7:00 AM June 12, 1962

**Estimated escape time:** 

10:00 or 11:00 PM June 11, 1962

Simulated Tidal circulation @ 10:00 PM, June 11, 1962 Estimated water temperature  $\sim 51$  or  $53^{\circ}$  F Wind was  $15\sim 16$  knots from WSW



Known Facts:

Original plan was to swim to Angel Island.

Some belongings were found in the bay.

FBI case is still open, the prisoners are presumed drown, but none of the 3 bodies were every found.

Simulated Tidal circulation @ 12:00 AM, June 11, 1962 Estimated water temperature ~ 51 or 53° F Wind was 15~16 knots from WSW



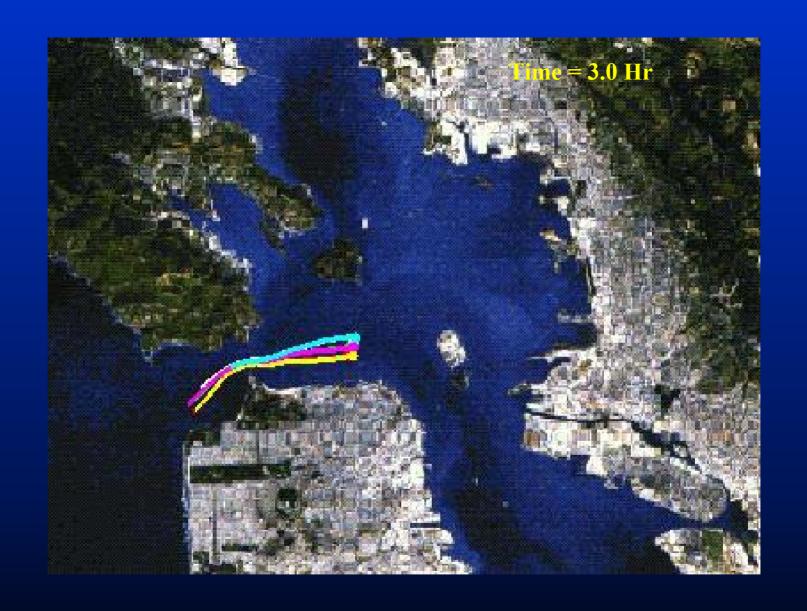
Numerical Simulation of Lagrangian drifters Starting time: 10:00 PM June 11, 1962



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Numerical Simulation of Lagrangian drifters Starting time: 10:00 PM June 11, 1962

#### **Observations:**

- 1. Numerical model shows the drifters could reach areas near Golden Gate Bridge in about 2 hours!
- 2. If the 3-prisoners only swam across the current, they could reach either San Francisco or Marine County shore in ~2 or 2 ½ Hrs.
- 3. None of 3-prisoners were ever seen again, live or dead!

#### **Factors to consider:**

- 1. Could these "young" men survive the cold Bay water temperature for ~2 to 3 Hrs.?
- 2. Did they change from their original escape plan?
- 3. The official position: They are presumed drown, but the FBI case is still open!
- 4. Their bodies were never found.

# **Question:**

What is the fate of these three escapees?

A. Drown

B. Escaped

#### **Factors to consider:**

- 1. Could these "young" men survive the cold Bay water temperature for ~2 to 3 Hrs.?
- 2. Did they change from their original escape plan?
- 3. The official position: They are presumed drown, but the FBI case is still open!
- 4. Their bodies were never found.

## **Question:**

What is the fate of these three escapees?
A. Drown (40%) B. Escaped (60%)

# Epilog: Summary

Three examples are used to demonstrate the balance between science and technology.

Basic process oriented research (science) builds tools (models) that were used creatively to solve interesting hydrodynamic problems in San Francisco Bay.

## Other observations:

Physics and Numerical methods are intertwined; better understanding the physical processes leads to successful modeling tasks.

Numerical modeling is not just solving the governing equations, creativity is an essential element.



# Issues Related to SFO Runway Expansion The proposition:

Population growth in this region, additional runways are needed to meet the demands

The proposed solution:

"Fill-in" the Bay for runways expansion The concerns:

Health and well being of S. F. Bay Ecosystem Can we build the runways and preserved the at Bay system at present "Status Quo"?

(Hydrodynamics and Sediment Transport)



# Two Scenarios of HazMat Responses:

1. Response at a remote site;
Not much prior hydraulic, oceanographic, and meteorological data are available.

2. At major ports and harbors, construct marine nowcast systems BEFORE any major accident takes place.





# Who are the players:

NOS/NOAA
USGS
S.F. Marine Exchange
OSRP, Fish & Game, CA

# Who are the users:

Navigation Safety Authorities
Ship Skippers and Pilots
Environment Protection Organizations
Oil-Spill Response and Planning
HazMat Cleanup
Scientists and Recreational users

